

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization
International Bureau



(43) International Publication Date
10 October 2002 (10.10.2002)

PCT

(10) International Publication Number
WO 02/079947 A2

- (51) International Patent Classification⁷: G06F
- (21) International Application Number: PCT/US02/09870
- (22) International Filing Date: 2 April 2002 (02.04.2002)
- (25) Filing Language: English
- (26) Publication Language: English
- (30) Priority Data:
60/280,145 2 April 2001 (02.04.2001) US
- (71) Applicant (for all designated States except US): UNITED STATES POSTAL SERVICE [US/US]; 475 L'Enfant Plaza, S.W., Washington, DC 20260-1135 (US).
- (72) Inventor; and
- (75) Inventor/Applicant (for US only): DEVAR, Rodney, C. [US/US]; 1609 Courtland Road, Alexandria, VA 22306 (US).
- (74) Agent: GARRETT, Arthur, S.; Finnegan, Henderson, Farabow, Garrett & Dunner, L., L.P., 1300 I Street, N.W., Washington, DC 20005-3315 (US).
- (81) Designated States (national): AE, AG, AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK, DM, DZ, EC, EE, ES, FI, GB, GD, GE, GH, GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NO, NZ, OM, PH, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TN, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZM, ZW.
- (84) Designated States (regional): ARIPO patent (GH, GM, KE, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE, TR), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).
- Published:
— without international search report and to be republished upon receipt of that report
- For two-letter codes and other abbreviations, refer to the "Guidance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazette.



WO 02/079947 A2

BEST AVAILABLE COPY

(54) Title: UNIVERSAL DELIVERY AND COLLECTION BOX UNIT

(57) Abstract: This invention relates to a method and system for accessing and tracking access of a Universal Delivery and Collection Box Unit (UDCBU). A device for providing access to a UDCBU receives an electronic key from a remote device. In response to the electronic key, the device unlocks a door to the UDCBU and enabling a user to deliver or retrieve selected items. Upon enabling a user to access the UDCBU, the device further provides tracking information identifying who accessed the UDCBU, the time when the UDCBU was accessed, and the transaction that transpired when the UDCBU was accessed. This tracking information is recorded and made available to authorized users for analysis.

UNIVERSAL DELIVERY AND COLLECTION BOX UNIT**BY****RODNEY C. DEVAR****DESCRIPTION OF THE INVENTION****CROSS-REFERENCE TO RELATED APPLICATION**

[001] This application claims the benefit of U.S. Provisional Application No. 60/280,145, filed April 2, 2001, titled UNIVERSAL DELIVERY AND COLLECTION BOX UNIT (UDCBU) a/k/a THE MILLENNIUM MAILBOX, the disclosure of which is expressly incorporated herein by reference.

BACKGROUND OF THE INVENTION

[002] Currently, an increasing amount of commerce involves the home delivery of merchandise. In particular, the advent of catalogs and the Internet as low cost marketing and distribution channels for goods and services have greatly increased the amount of commerce involving the home delivery of goods. Companies involved in delivering goods to consumers, however, have experienced difficulty in meeting consumers' price, service, security, privacy, selection, and information expectations. One cause of this difficulty is the limitations imposed on delivery service by current delivery and collection units or mailboxes.

[003] Presently, the typical collection unit is a small box located on the curb in front of a consumer's house. This box provides adequate storage for small pieces of mail, but cannot accommodate larger packages. Further, other delivery services besides the United States Postal Service (USPS), cannot utilize these mailboxes. This often necessitates the use of a more expensive form of delivery, which in turn increases the delivery costs to the consumer.

[004] In addition, the limitations imposed by typical collection units often interfere with consumers' desire for consistent delivery service.

Because many packages cannot fit in typical mail collection units, these packages usually require personal delivery. If a consumer is not home to

receive a package, however, the package is often handled inconsistently. In some cases, the consumer may receive a notice of attempted delivery. In other cases, the merchandise may be placed beside the front door. In still other cases, the merchandise is left with a neighbor. This inconsistent approach often leads to consumer dissatisfaction.

[005] These same problems also exist with respect to consumers' desire for security and privacy. When packages are left at their front door or with neighbors, consumers are often concerned about theft and damage to their goods. Consumers also tend to dislike the loss of privacy associated with having their packages left with their neighbors. Moreover, consumers are often concerned about the signal that packages left in front of their homes send to home burglars. Additionally, typical collection units provide no way of preventing access to even those pieces of mail that fit in the collection unit.

[006] In addition, consumers often want the ability to choose when and where their direct purchases will be received. However, as stated above, these deliveries often require that the consumer be home. This is not often practical for many consumers. This prevents the delivery of many items, such as perishables.

[007] Finally, consumers, merchants, and delivery service providers expect that delivery information will be captured and made available between all parties from the point at which an order is made to the time it is delivered. The currently available collection units, however, are not currently used to transmit delivery information. With the exception of the outgoing mail flag found on some collection units, most collection units are not able to receive or transmit any information regarding what items are in the collection unit, when an item was placed in the collection unit, or who placed the items in the collection unit.

[008] Therefore, it is desirable to provide a collection unit that solves some or all of the problems associated with currently available systems.

SUMMARY OF THE INVENTION

[009] Systems and methods in accordance with an embodiment of the invention provide access and tracking of access of a secure container. The

method comprises receiving an electronic key at the secure container. The electronic key is then processed at the secure container, and access to the secure container is enabled when the electronic key activates an access device at the secure container.

[010] Systems and methods in accordance with an embodiment of the invention provide access and tracking of access to a secure container. The system comprises a mechanism that provides access to the secure container. An access device is used to manipulate the mechanism of the secure container. Further, a tracking device tracks when the mechanism is manipulated by associating tracking information to the mechanism when the mechanism is manipulated.

[011] Systems in accordance with another embodiment of the invention provide access and tracking of access of a secure container. The system comprises a mechanism for securing the secure container. A first access device is provided for manipulating the mechanism. Further, a second access device provides an electronic key to the secure container so that the first access device can be activated. The system further comprises a device other than the first or second access device for tracking when the first access device manipulates the mechanism in response to the electronic key.

[012] Additional aspects of the invention are disclosed and defined by the appended claims. It is to be understood that both the foregoing general description and the following detailed description are exemplary and explanatory only and are not restrictive of the invention as claimed.

BRIEF DESCRIPTION OF THE DRAWINGS

[013] The accompanying drawings, which are incorporated in and constitute a part of this specification, illustrate several embodiments of the invention and together with the description, serve to explain the principles of the invention.

[014] In the drawings:

[015] Figure 1 is a block diagram illustrating the components of a universal delivery and collection box unit consistent with an embodiment of the invention;

[016] Figure 2 is a block diagram illustrating the door components of a universal delivery and collection box unit consistent with an embodiment of the invention;

[017] Figure 3 is a flow diagram illustrating the transfer of mail delivery information from a universal delivery and collection box unit consistent with an embodiment of the invention;

[018] Figure 4 is a frontal perspective view of a universal delivery and collection box unit consistent with an exemplary embodiment of the invention;

[019] Figure 5A is a front view of one embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[020] Figure 5B is a side view of one embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5A;

[021] Figure 5C is a front view of a second embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[022] Figure 5D is a side view of a second embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5C;

[023] Figure 5E is a front view of a third embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[024] Figure 5F is a side view of a third embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5E;

[025] Figure 5G is a front view of a fourth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[026] Figure 5H is a side view of a fourth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5G;

[027] Figure 5I is a front view of a fifth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[028] Figure 5J is a top view of a fifth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5I;

[029] Figure 5K is a front view of a sixth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[030] Figure 5L is a side view of a sixth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5K;

[031] Figure 5M is a front view of a seventh embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[032] Figure 5N is a side view of a seventh embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5M;

[033] Figure 6A is a side view of one embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[034] Figure 6B is a side view of a second embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[035] Figure 6C is a side view of a third embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[036] Figure 7A is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[037] Figure 7B is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door and open incoming

mail door consistent with an exemplary embodiment of the invention, as shown in Figure 7A;

[038] Figure 7C is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door and open outgoing mail compartment consistent with an exemplary embodiment of the invention, as shown in Figure 7A;

[039] Figure 8 is a frontal perspective view illustrating a universal delivery and collection box unit with an open storage door consistent with an exemplary embodiment of the invention, as shown in Figure 4;

[040] Figure 9 is side perspective view illustrating a universal delivery and collection box unit with an access device consistent with an exemplary embodiment of the invention;

[041] Figure 10 is a block diagram illustrating a communication of access and tracking information of a plurality of UDCBUs consistent with an embodiment of the invention;

[042] Figure 11 is a side perspective view illustrating a second type of tracking and access device consistent with an exemplary embodiment of the invention; and

[043] Figure 12 is a block diagram illustrating a communication of tracking and access information using only a single tracking and access device consistent with an exemplary embodiment of the invention.

DESCRIPTION OF THE EMBODIMENTS

[044] Structures in accordance with the present invention will now be described with respect to an exemplary embodiment of a universal delivery and collection box unit ("UDCBU") or Omnibox. Figures 1-3 describe the components of a UDCBU consistent with the invention. Figures 4-8 describe one embodiment of a UDCBU comprising these components. This embodiment is merely exemplary, and other embodiments may also be used.

[045] Figure 1 is a block diagram illustrating the components of a universal delivery and collection box unit consistent with an embodiment of the invention. As shown in Figure 1, in one implementation, the UDCBU 100 includes four components: an incoming mail compartment 110, an outgoing

mail compartment 120, a storage compartment 130, and other compartments 140. This implementation is merely exemplary, and other implementations may also be used. Of course, fewer or more compartments may be utilized.

[046] Incoming mail compartment 110 holds mail delivered by the USPS to an authorized consumer. Outgoing mail compartment 120 holds mail placed in UDCBU 100 by the authorized consumer to be picked up for delivery by USPS. Storage compartment 130 holds parcels that either cannot fit in the incoming mail compartment or are delivered by authorized entities other than USPS. For example, groceries, dry cleaning, videos, office supplies, hot/cold meals, and pharmaceutical items may be placed in storage compartment 130. Storage compartment may also be used to return goods. Other compartments 140 include additional compartments that may be contained in UDCBU 100. In one implementation, other compartments 140 includes a compartment to hold mail that has a configuration such that it cannot be delivered to the incoming mail compartment, such as newspapers. These compartments allow UDCBU 100 to hold both regular United States mail, similar to existing collection units, and parcels delivered by other services. This implementation is merely exemplary, and other implementations may also be used.

[047] Figure 2 is a block diagram illustrating the door components of a universal delivery and collection box unit consistent with an embodiment of the invention. As shown in Figure 2, in one implementation, UDCBU 100 includes two doors: a main door 210 and a storage door 220. In this implementation, main door 210 provides access to incoming mail compartment 110 and outgoing mail compartment 120, and storage door 220 provides access to storage compartment 130. The use of separate doors for incoming and outgoing mail compartments 110 and 120 and for storage compartment 130 allows for different levels of accessibility for those compartments.

[048] For example, as shown in Figure 2, in one implementation, main door 210 would be accessible only by USPS 230 and an authorized consumer 240. Authorized consumer 240 would be an individual or group of individuals

(e.g., a family living together) authorized to receive mail at UDCBU 100. This would allow USPS 230 to deliver and pick up mail from the UDCBU, and authorized consumer 240 to retrieve incoming mail and leave outgoing mail for pickup in UDCBU 100. However, it restricts access by other individuals, thereby providing greater security for mail delivery. In this implementation, a locking mechanism may be used to restrict access to USPS 230 and authorized consumer 240. This locking mechanism may be mechanical, such as a key lock, electrical, such as a keypad, or any other locking mechanism. This implementation is merely exemplary, and other implementations may also be used.

[049] As further shown in Figure 2, in one implementation, storage door 220 would be accessible by USPS 250, an authorized consumer 260, and authorized delivery agents 270. Authorized consumer 260 would be an individual authorized to receive mail at UDCBU 100. This would allow USPS 250 to place parcels that will not fit in incoming mail compartment 110 or other compartment 140, into storage compartment 130. This will also allow authorized delivery agents 270 to leave a package for authorized consumer 260 in a secure location if authorized consumer 260 is not available to accept the package. In one implementation, upon a request by authorized consumer 260, USPS 250 would provide authorized delivery agents 270 with a key or other access information to open storage door 220. In addition, authorized consumer 260 would be able to open storage door 220 to retrieve packages. In this implementation, a locking mechanism may be used to restrict access to storage compartment 130 to USPS 250, authorized consumer 260, and authorized delivery agents 270. This locking mechanism may be mechanical, such as a key lock, electrical, such as a keypad, or any other locking mechanism. These implementations are merely exemplary, and other implementations may also be used.

[050] Figure 3 is a flow diagram illustrating the transfer of mail delivery information from a universal delivery and collection box unit consistent with an embodiment of the invention. As shown in Figure 3, upon delivery of a package, UDCBU 310 may record information regarding the delivery of

parcels to a compartment (such as storage compartment 130 described in Figure 1) in UDCBU 310. Delivery information may include when the storage compartment is accessed, who accessed the storage compartment, as well as any other delivery information. As described in Figure 2, a door of UDCBU 310 (such as storage door 220 in Figure 2) may be locked electronically. In this implementation, the use of a keypad requiring an access code to open the door would allow UDCBU 310 to record delivery information. Each delivery service that accesses the box would be assigned a unique access code. UDCBU 310 would then be able to record who accessed UDCBU 310 and when the access occurred. This information may be stored locally or remotely.

[051] As shown in Figure 3, this information may then be transmitted to a number of different locations, such as a computer 320. This information may also be transmitted to a pager, a cell phone, a database, or any other device. A database may be used to store information regarding all access to UDCBU 310 over a give time period. This information may be used for both security purposes and to calculate the number of times UDCBU 310 is accessed by delivery agents for accounting purposes. In one implementation, this information would be transmitted using wireless technology.

[052] In another implementation, a reader, such as an infrared scanner, would retrieve all the access information from UDCBU 310. The mail carrier would then dock the reader with a computer station, which would then transmit the delivery information to the central data source. From this data source, the information could be transmitted to a variety of sources, as discussed in Figure 3.

[053] The storage of this information would allow USPS to charge authorized delivery agents an access fee for using UDCBU 400. In this implementation, an authorized delivery agent would register with the USPS for access to UDCBUs. Every time a customer of that authorized delivery agent requests delivery of a parcel, the delivery agent would request an access code for the designated UDCBU. The delivery agent would enter the access code and leave the parcel in the UDCBU. A sensing means would record

when the delivery agent accessed the UDCBU and who accessed the UDCBU. This process would be repeated at every UDCBU that the authorized delivery agent accessed. The USPS would then compile a record of all the times that delivery agent accessed a UDCBU. The USPS could then charge a per usage fee based on the access. Alternatively, the fee could be charged for unlimited access over a period of time, such as a monthly access fee. These implementations are merely exemplary, and other implementations may also be used.

[054] Figures 1-3 illustrate the components of a UDCBU. Figures 4-8 illustrate one implementation of a universal delivery and collection box unit comprising these components. This implementation is merely exemplary, and other implementations may also be used.

[055] Figure 4 is a frontal perspective view of a universal delivery and collection box unit consistent with an exemplary embodiment of the invention. As shown in Figure 4, a UDCBU 400 comprises a housing 405, a main door 410, and a storage door 460. Housing 405, main door 410, and storage door 460 may be constructed of any material consistent with the invention. As shown in Figure 4, in this implementation, main door 410 is attached to a front face of housing 405, and storage door 460 is attached to a side face of housing 405. In one implementation, housing 405, main door 410, and storage door 460 may be tamper resistant, watertight, and weatherproof. These implementations are merely exemplary, and other implementations may also be used.

[056] In one implementation, all exterior surfaces of UDCBU 400, including the rear and bottom, will have a smooth finish and be impact resistant. In this implementation, sufficient impact resistance will require that the coating applied to any exposed surface of the unit will not be cracked, chipped, broken, or dented more than 1/16 inch in depth, by dropping a 2-pound hard steel ball with a 1/2-inch spherical radius from a height of 6 inches on any surface of the unit. In this implementation, the impact strength of housing 405 will exceed 500 inch-pounds from -40 to 145 \pm 5 °F. In addition, housing 405 shall endure impact from a baseball bat or blunt

instrument delivered by an individual of normal size and stature on any surface of the unit without allowing access to any compartment or receptacle by springing or breaking any door open as a result of the impact. These implementations are merely exemplary, and other implementations may be used.

[057] In this implementation, main door 410 and storage door 460 will be sturdy and able to withstand loads at any point on the exposed surface and in any direction without permanent deformation or failure, which would allow unauthorized entry into the compartment. In this implementation, main door 410 shall withstand inward and outward pulls of 250 ± 5 pounds anywhere on the outside surface of the door when in the locked or closed position, and storage door 460 shall withstand inward or outward pull of 500 ± 5 pounds anywhere on the exposed surface of the door when in a locked position.

[058] In one implementation, as shown in Figure 4, main door 410 comprises a handle 420 and a locking mechanism 430. Handle 420 allows an individual to open main door 410. In one implementation, handle 420 is located at a height sufficient for a delivery person to comfortably reach the handle from within a delivery vehicle. In this implementation, main door 410 will operate by pulling outward and downward on handle 420. Other implementations of handle 420 are described in Figures 5A-5N.

[059] In addition, in this implementation, main door 410 will be designed to provide protection against wind, rain, sleet, or snow. In another implementation, door latches (not shown) will hold the door closed but allow easy opening and closing requiring no more than 5 pounds of force. In another implementation, magnetic latches will be used. In yet another implementation, carriers are alerted that main door 410 is properly shut by either tactile or by sound (i.e., "snap" or "click"). In another implementation, the door, once opened, will remain in the open position until the carrier pushes it closed. In this implementation, the door will rotate a minimum of 100-degrees and a maximum of 120-degrees. These implementations are merely exemplary, and other implementations may be used.

[060] Locking mechanism 430 prevents unauthorized individuals from opening main door 410. Locking mechanism 430 may be an electrical or mechanical lock. In one implementation, locking mechanism 430 would be a standard key operated lock. In another implementation, locking mechanism 430 would comprise a keypad requiring an access code to open main door 410. In still another implementation, locking mechanism 430 could be operated by a smart card that would be inserted or swiped to allow an individual to open main door 410. As described in Figure 2, in this implementation, lock 430 would allow only the USPS and an authorized consumer to open main door 410. These implementations are merely exemplary, and other implementations may also be used.

[061] As shown in Figure 4, storage door 460 comprises a handle 490, a locking mechanism 480, and a deliverables indicator 470. Storage door 460 will be designed to hinder tampering and forced entries. In one implementation, storage door 460 will have a seal to ensure the compartment is air tight and waterproof. These implementations are merely exemplary, and other implementations may also be used.

[062] Handle 490 allows an individual to open storage door 460. In one implementation, when unlocked, a force, no greater than 5 lbs. on handle 490 will open storage door 460. In this implementation, storage door 460 will operate freely but be sturdy enough to resist bending that may result from a forced entry attempt.

[063] Locking mechanism 480 prevents unauthorized individuals from opening storage door 460. Locking mechanism 480 may be an electrical or mechanical lock. In one implementation, locking mechanism 480 would be a standard key operated lock. In another implementation, locking mechanism 480 could be operated by a smart card that would be inserted or swiped to allow an individual to open storage door 460.

[064] In another implementation, as shown in Figure 4, locking mechanism 480 is operated by an access entry control mechanism, such as a keypad. The keypad would allow authorized individuals to enter an access code to open storage door 460. A battery may be used to power the locking

mechanism 480. In this implementation, an authorized consumer is assigned a fixed access code that will always allow the user to open storage door 460. A second access code would also be assigned for authorized delivery personnel. In one implementation, this second access code would change daily in a random or pseudo-random fashion and would be made available to delivery personnel at the time of delivery. It is contemplated that different authorized delivery personnel would have different access codes.

[065] In this implementation, a sensing device would record the time at which an individual entered an access code and the access code entered. This information would be stored in the unit. A communications device would then communicate this information to a designated location. In one implementation, UDCBU 400 would comprise a wireless transmitter to transmit the data to a central data location. In another implementation, this device would be scanned with an infrared reader by a mail carrier. The reader would retrieve all the access information from UDCBU 400. The mail carrier would then dock the reader with a computer station, which would then transmit the delivery information to the central data source. From this data source, the information could be transmitted to a variety of sources, as discussed in Figure 3.

[066] Deliverables indicator 470 indicates the presence of a deliverable in storage compartment 810 (not shown in Figure 4, but shown in Figure 8). In one implementation, deliverables indicator 470 may include a slot in storage door 460 with a bi-directional sliding mechanism (not shown) located behind storage door 460. In this implementation, deliverables indicator 470 would comprise two panels: one indicating the presence of deliverables and one indicating the absence of deliverables. The bi-directional sliding mechanism would move deliverables indicator 470 back and forth in front of the slot to place the appropriate panel of deliverables indicator 470 in front of the slot. This would allow an individual in front of storage door 460 to see one of the panels of deliverables indicator 470 and determine if a parcel is present in storage compartment 810. In another implementation, deliverables indicator 470 may operate automatically. For

example, the opening of storage door 460 could act to operate deliverables indicator 470. These implementations are merely exemplary, and other implementations may also be used.

[067] As shown in Figure 4, UDCBU also comprises an outgoing mail flag 450 and a bar code 440. Outgoing mail flag 450 indicates the presence of mail in an outgoing mail compartment 710 (not shown in Figure 4, but shown in Figures 7A-7C). In this implementation, outgoing mail flag 450 is located on a side face of housing 405. In another implementation, outgoing mail flag 450 would have two orientations. The first orientation would indicate the presence of mail in outgoing mail compartment 710; the second orientation would indicate the absence of mail in outgoing mail compartment 710. In this implementation, an authorized consumer could manually move outgoing mail flag 450 to the first orientation upon placing mail in outgoing mail compartment 710, and a mail carrier could manually move the outgoing mail flag 450 to the second position upon removing the mail from outgoing mail compartment 710. In another implementation, outgoing mail flag 450 would automatically move to the second position upon opening main door 410. Other implementations of outgoing mail flag 450 are described in Figures 6A-6C. These implementations are merely exemplary, and other implementations may also be used.

[068] Bar code 440 allows USPS to confirm delivery of mail and packages to UDCBU 400. In one implementation, each UDCBU 400 would be assigned a specific identification number, which would be represented by bar code 440. Currently, delivery confirmation barcodes are placed on most mail pieces for which delivery confirmation is requested. These barcode labels can be printed by the shipper, a vendor, or by the Postal Service. Using a Mobile Data Collection Device (MDCD) scanning device, the carrier may scan the delivery confirmation barcode on the mail piece and barcode 440 to confirm delivery of the mail piece. After completing his route, the carrier will place the MDCD in a cradle located at a delivery unit. The cradle transmits the information from the MDCD to a central data location. USPS and its customers can then retrieve this information via the Internet or other

methods. This implementation is merely exemplary, and other implementations may also be used.

[069] In another implementation, UDCBU 400 also includes a power source. The power source would be used to provide power to any electronic locking mechanisms or other devices located in UDCBU that require power. In one implementation, this power source is a battery. In another implementation, UDCBU 400 is wired directly to an electricity source, such as from a house. These implementations are merely exemplary, and other implementations may also be used.

[070] Figure 5A is a front view of one embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5B is a side view of one embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5A. As shown in Figures 5A-5B, main door 505 includes a handle 510. In this implementation, handle 510 comprises a J-shaped structure. To open door 505, an individual would grasp a rear face 511 of handle 510 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[071] Figure 5C is a front view of a second embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5D is a side view of a second embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5C. As shown in Figures 5C-5D, main door 515 includes a handle 520. In this implementation, handle 520 comprises a knob. To open door 515, an individual would grasp knob 520 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[072] Figure 5E is a front view of a third embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5F is a side view of a third embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5E. As shown in Figures 5E-5F, main door 525 includes a handle 530.

In this implementation, handle 530 comprises a tab located at the top of door 530. To open door 530, an individual would grasp a rear face 531 of handle 530 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[073] Figure 5G is a front view of a fourth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5H is a side view of a fourth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5G. As shown in Figures 5G-5H, main door 535 includes a handle 540. In this implementation, handle 540 comprises an L-shaped structure, which is attached to door 535 by nut 541. To open door 535, an individual would grasp a rear face 542 of handle 540 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[074] Figure 5I is a front view of a fifth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5J is a top view of a fifth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5I. As shown in Figures 5I-5J, main door 545 includes a handle 550. In this implementation, handle 550 comprises a U-shaped structure. To open door 545, an individual would grasp handle 550 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[075] Figure 5K is a front view of a sixth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5L is a side view of a sixth embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5K. As shown in Figures 5K-5L, main door 555 includes a handle 560. In this implementation, handle 560 comprises a bar 561 and a ring 562. To open door 555, an individual would grasp ring 562 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[076] Figure 5M is a front view of a seventh embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. Figure 5N is a side view of a seventh embodiment of a main door consistent with an exemplary embodiment of the invention, as shown in Figure 5M. As shown in Figures 5M-5N, main door 565 includes a handle 570. In this implementation, handle 570 comprises a curved protrusion from door 565. To open door 565, an individual would grasp an underside 571 of handle 570 and pull downward and outward. This implementation is merely exemplary, and other implementations may also be used.

[077] Figure 6A is a side view of one embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4. As shown in Figure 6A, mail flag 615 is attached to the side of housing 605 and slides horizontally in slot 610. Mail flag 615 extends outward from housing 605 to indicate the presence of outgoing mail and stays in slot 610 to indicate the absence of outgoing mail. Mail flag 615 may be extended and retracted manually or automatically. This implementation is merely exemplary, and other implementations may also be used.

[078] Figure 6B is a side view of a second embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4. As shown in Figure 6B, mail flag 630 is attached to main door 625, which is attached to housing 620. In this implementation, mail flag 630 is attached to main door 625 by a hinge 635. Mail flag 630 rotates on hinge 635 to indicate the presence or absence of outgoing mail. Mail flag 630 may be rotated manually or automatically. This implementation is merely exemplary, and other implementations may also be used.

[079] Figure 6C is a side view of a third embodiment of an outgoing mail flag consistent with an exemplary embodiment of the invention, as shown in Figure 4. As shown in Figure 6C, mail flag 645 is attached to rod 655. Rod 655 rotates around axis 660, which is attached to housing 650. In this implementation, mail flag 645 is raised to extend beyond main door 640 to indicate the presence of outgoing mail in the UDCBU. Mail flag 645 may be

rotated manually or automatically. This implementation is merely exemplary, and other implementations may also be used.

[080] Figure 7A is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door consistent with an exemplary embodiment of the invention, as shown in Figure 4. In this implementation, as shown in Figure 7A, opening main door 410 of UDCBU 400 reveals an incoming mail door 730, an outgoing mail door 710, and an auxiliary compartment 760. This implementation is merely exemplary, and other implementations may also be used.

[081] Incoming mail door 730 provides access to an incoming mail compartment 780 (see Figure 7B). In one implementation, door latches for incoming mail door 730 will hold incoming mail door 730 closed but will allow easy opening and closing requiring no more than 5 pounds of force. Magnetic latches may also be used in this implementation. These implementations are merely exemplary, and other implementations may also be used.

[082] As shown in Figure 7A, in one implementation, incoming mail door 730 includes a handle 750 and a slot 740. Handle 750 allows an individual to open incoming mail door 730. Slot 740 allows a mail carrier to place mail into incoming mail compartment 780. In one implementation, the slot will measure a minimum of 1.75 inches high by 10 inches wide. In this implementation, the bottom edge of the slot will be at a height of between 41 - 45 inches when measured from the road surface. In another implementation, the slot will have a protective flap that operates inward to ensure mail can be inserted in horizontal manner without requiring any additional effort. The design of the mail slot will also preclude opportunities for mail pilfering. In another implementation incoming mail door 730 will include a locking mechanism, such as a PSIN 0910 lock available from USPS-approved sources. These implementations are merely exemplary, and other implementations may also be used.

[083] Outgoing mail door 710 provides access to an outgoing mail compartment 770 (see Figure 7C). In one implementation, outgoing mail door 710 includes a handle 720. Handle 720 allows an individual to open outgoing

mail door 710. This implementation is merely exemplary, and other implementations may also be used.

[084] As shown in Figure 7A, UDCBU 400 may also include an auxiliary compartment 760. Auxiliary compartment 760 may be used to hold items having a configuration such that it cannot be placed in incoming mail compartment 780, such as newspapers, magazines, and other items as desired. Additional compartments may also be included behind main door 410, if desired.

[085] Figure 7B is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door and open incoming mail door consistent with an exemplary embodiment of the invention, as shown in Figure 7A. As shown in Figure 7B, incoming mail door 730 is opened to reveal an incoming mail compartment 780. Incoming mail compartment 780 holds mail delivered by USPS to an authorized consumer. In one implementation, only the USPS and an authorized consumer would be able to open incoming mail door 730. In this implementation, incoming mail compartment 780 will be a minimum of 12 inches wide by 8 inches high by 15 inches deep. This implementation is merely exemplary, and other implementations may also be used.

[086] Figure 7C is a frontal perspective view illustrating a universal delivery and collection box unit with an open main door and open outgoing mail compartment consistent with an exemplary embodiment of the invention, as shown in Figure 7A. As shown in Figure 7C, outgoing mail door 710 is opened to reveal an outgoing mail compartment 770. Outgoing mail compartment 770 holds mail from the authorized consumer to be picked up by USPS for delivery. In one implementation, outgoing mail compartment 770 will be capable of accommodating more than a dozen standard letters and flats. In another implementation, the floor of outgoing mail compartment 770 will be corrugated or ribbed to ensure that the mail remains dry and does not stick as a result of condensation. This implementation is merely exemplary, and other implementations may also be used.

[087] Figure 8 is a frontal perspective view illustrating a universal delivery and collection box unit with an open storage door consistent with an exemplary embodiment of the invention, as shown in Figure 4. As shown in Figure 8, storage door 460 is opened to reveal storage compartment 810. In one implementation, storage compartment 810 comprises a shelf 830 and a clothing rod 820. This implementation is merely exemplary, and other implementations may also be used.

[088] Shelf 830 is used to store and arrange deliverables in storage compartment 810. In one implementation, shelf 830 may be retractable and/or vertically adjustable to allow for the separation of various parcels. Clothing rod 820 is used to hang clothing. This would permit dry cleaners to deliver to UDCBU 400. In one implementation, clothing rod 820 may be adjustable. These implementations are merely exemplary, and other implementations may also be used.

[089] In another implementation, storage compartment 810 may be capable of holding perishables, refrigerated items and frozen goods. In this implementation, the perishables, refrigerated items and frozen goods, would be able to be held for a period of at least 12 hours. A time/date stamp may be used to indicate the amount of time an item has been in storage compartment 810.

[090] In one implementation, storage compartment 810 may comprise insulation (not shown) to maintain the temperature in storage compartment 810. In another implementation, insulation packs that maintain temperatures for refrigerated or frozen items may be used. In yet another implementation, storage compartment 810 may comprise a temperature control device such as an appropriate heating or cooling element to maintain a predetermined temperature in the storage compartment 810. These implementations are merely exemplary, and other implementations may also be used.

[091] The implementation described in Figures 4-8 is primarily designed for a single-family dwelling. However, a UDCBU consistent with the invention could also be designed for multi-family residences or offices. By

increasing the size and or number of the compartments, the UDCBU could be designed to accommodate multiple authorized consumers.

[092] In another implementation of the present invention, UDCBU 103 may include a device designed to track when access is gained into UDCBU 103. Access and tracking of access for UDCBU 103 generally includes an operation of one of two types of access devices or a combination of the two access devices.

[093] Figure 9 is a side perspective view illustrating a universal delivery and collection box unit with an access and tracking of access device consistent with an exemplary embodiment of the invention. As shown in Fig. 9, access devices 101 and 105 operate in tandem to provide access and tracking of access to UDCBU. A hand held access device 105 communicates with an access device 101 located on the housing of UDCBU 103. Communication between the access devices 101 and 105 enables access device 101 to provide full access or limited access of UDCBU 103. Based upon the level of authorization provided to the user through an identification code, access device 105 determines whether the user has access only to certain compartments (not shown) of UDCBU 103 or has further access to tracking information of UDCBU 103. The user of hand held device 105 achieves access to UDCBU 103 when access device 101 opens or unlocks a door 115 in response to an identification code sent to the access device 101 from hand held device 105. Additional information available to the user of hand held device 105 when a valid identification code is sent to access device 101 includes, for example, information indicating an item being delivered or picked-up, an address of the respective UDCBU, an authorization for access, a delivery or pick-up time for an item, costs incurred by service of the UDCBU, and an identity of an entity servicing the UDCBU.

[094] Figure 10 is a block diagram illustrating communication of access and tracking of access information by a plurality of UDCBU devices consistent with an exemplary embodiment of the invention. As shown in Figure 10, hand held access device 105 receives access and tracking information from each of a plurality of UDCBU devices 103a-103d via access

devices 101a-101d, respectively, after providing a valid identification code to the respective access devices 101a-101d. Handheld device 105 communicates the received information to another access device 107. Access device 107 may then store the information in an accessible data storage unit 109, where authorized persons may access the data. The data in storage unit 109 may be accessed electronically via a computer 111 or the data may be sent electronically to authorized persons or devices via a number of conventional communication methods including, for example, email, phone, cell phone, wireless technology, pager, etc. Furthermore, authorized persons who may access or receive data stored in storage unit 109 include, for example, a UDCBU owner, the USPS, an authorized customer, or an authorized marketing or business partner of a sender or receiver.

[095] In another embodiment of the invention, hand held access device 105, as shown in Figure 10, may be in the form of a smart card. Hand held access device 105 might then communicate with access device 101 in the form of smart card reader 101 to provide access to a UDCBU 103. Hand held communication device 105 may further communicate with access device 107 also in the form of a smart card reader so that the access information received from smart card reader 101 can be downloaded to an electronic storage 109 such as a storage device, the internet, a server, or a data file.

[096] In yet another embodiment of the invention, the hand held access device 105 may be composed of two devices to form an access and tracking device. A first device, for example, may provide access via an electronic key and the second device may provide tracking information in the form of a bar code scanner. In this embodiment, for example, the electronic key may unlock UDCBU 103a. The bar code scanner of access and tracking device 105 may then scan indicia located on the housing of at least one of UDCBU 103b-103d for the delivery or pickup of items. Hand held access and tracking of access device 105 may then communicate with a device such as communication device 107 that enables scanned information to be stored in an accessible data storage unit 109.

[097] Figure 11 is a side perspective view illustrating a second type of access device consistent with an exemplary embodiment of the invention. Access device 113 may permit access to a UDCBU 103 by opening or unlocking a door 115 after a user activates access device 113, for example, by entering a valid PIN on a keypad. It is apparent that access device 113 may be configured to accept other types of entries that also can initiate activation.

[098] Figure 12 is a block diagram illustrating a communication of access and tracking information using only a single access device consistent with an exemplary embodiment of the invention. After activation access devices 113a-113d located on the housing of UDCBU 103a-103d, respectively, may communicate access information as previously described to an accessible data storage unit 109. Each of access and tracking devices 113a-113d may communicate access information via a wire, cable, fiber optic cable, wireless transmission or through another acceptable medium of communication. The communicated data may then be accessed electronically via computer 111 or other conventional means available for accessing digital data.

[099] Other embodiments of the invention will be apparent to those skilled in the art from consideration of the specification and practice of the invention disclosed herein. It is intended that the specification and examples be considered as exemplary only, with a true scope and spirit of the invention being indicated by the following claims.

WHAT IS CLAIMED IS:

1. A method of providing access and tracking of access of a secure container comprising:
receiving an electronic key at the secure container;
processing the received electronic key; and
enabling access to the secure container when the electronic key activates an access device at the secure container.
2. The method of claim 1, wherein receiving an electronic key further comprises inputting a PIN number at the secure container.
3. The method of claim 1, wherein receiving an electronic key further comprises transmitting the electronic key from another access device.
4. The method of claim 1 further comprising:
scanning a mark at the secure container when access is enabled so that delivered or retrieved items can be tracked.
5. The method of claim 4 further comprising:
communicating the scanned information to another access device; and
storing the communicated information in a storage device.
6. The method of claim 5, wherein the stored information indicates whether an item is delivered to the secure container.
7. The method of claim 5, wherein the stored information indicates whether an item is retrieved from the secure container.
8. The method of claim 5, wherein the stored information identifies an address of the secure container.
9. The method of claim 5, wherein the stored information identifies a delivery time or retrieval time for an item at the secure container.
10. The method of claim 5, wherein the stored information indicates a cost for delivery or retrieval of an item at the secure container;

11 The method of claim 10, wherein the stored information further indicates an entity that delivered or retrieved the item at the secure container

12. A system for providing access and tracking of access to a secure container comprising:

- a mechanism for providing access to the secure container;
- an access device for manipulating the mechanism; and
- a tracking device for tracking when mechanism is manipulated, wherein the tracking device associates tracking information with the mechanism when the mechanism is manipulated.

13. The system of claim 12, wherein the access device includes an electronic keypad.

14. The system of claim 12, wherein the mechanism is a lockable door.

15. A system for providing access and tracking of access of a secure container comprising:

- a mechanism located on the secure container;
- a first access device for manipulating the mechanism;
- a second access device for providing an electronic key to secure container so that the first access device can be activated; and
- a tracking device for tracking when the first access device manipulates the mechanism in response to the electronic key.

16. The system of claim 15, further comprising:

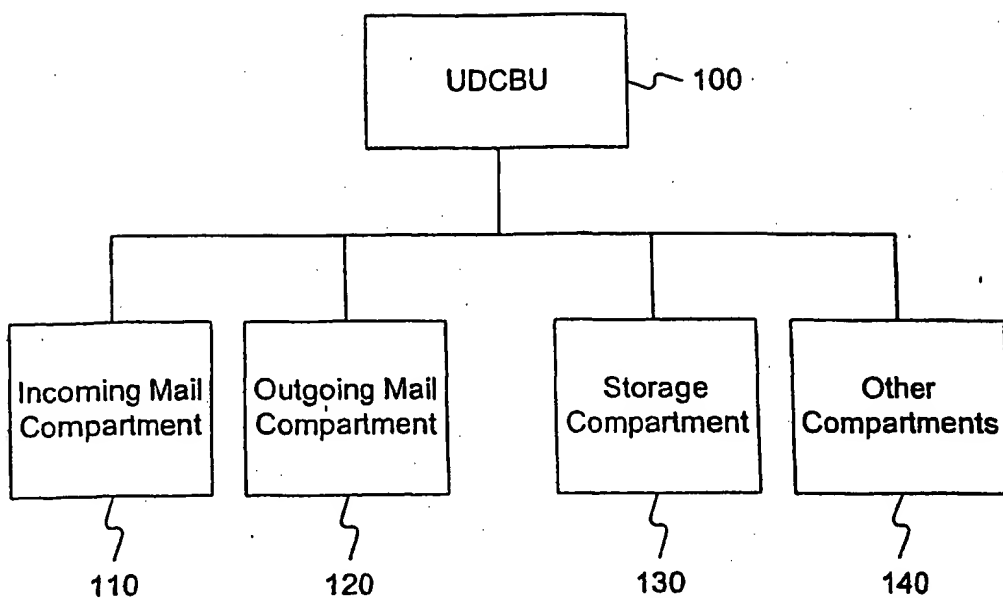
- a storage device for storing tracking information associated with the tracking device.

17. The system of claim 16 wherein the first access device is located on the housing of the secure container.

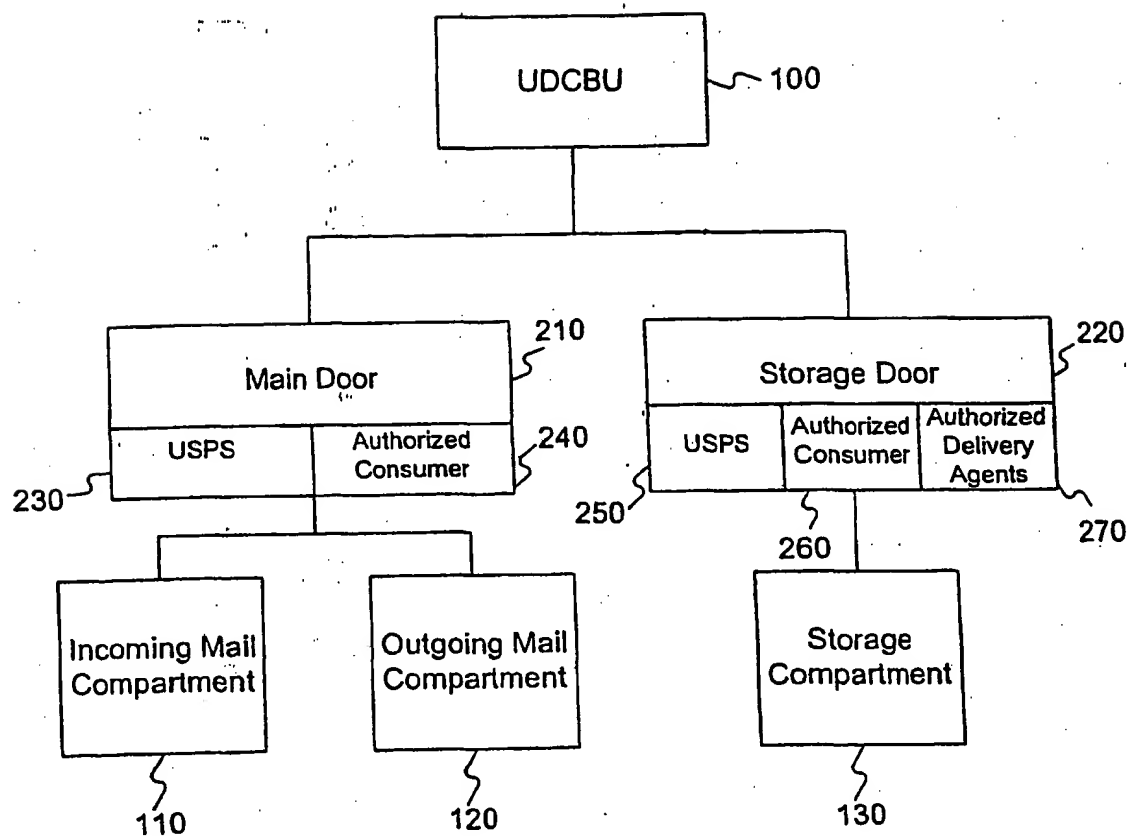
18. The system of claim 15 wherein the second access device is a hand held device.

19. The system of claim 18, wherein the hand held device further includes a bar code scanner.
 20. The system of claim 15, wherein the mechanism is a lockable door.
 21. The method of claim 15, wherein the second access device is a smart card.
 22. The method of claim 21, wherein the first access device is a smart card reader.
-

1/14

**Figure 1**

2/14

**Figure 2**

3/14

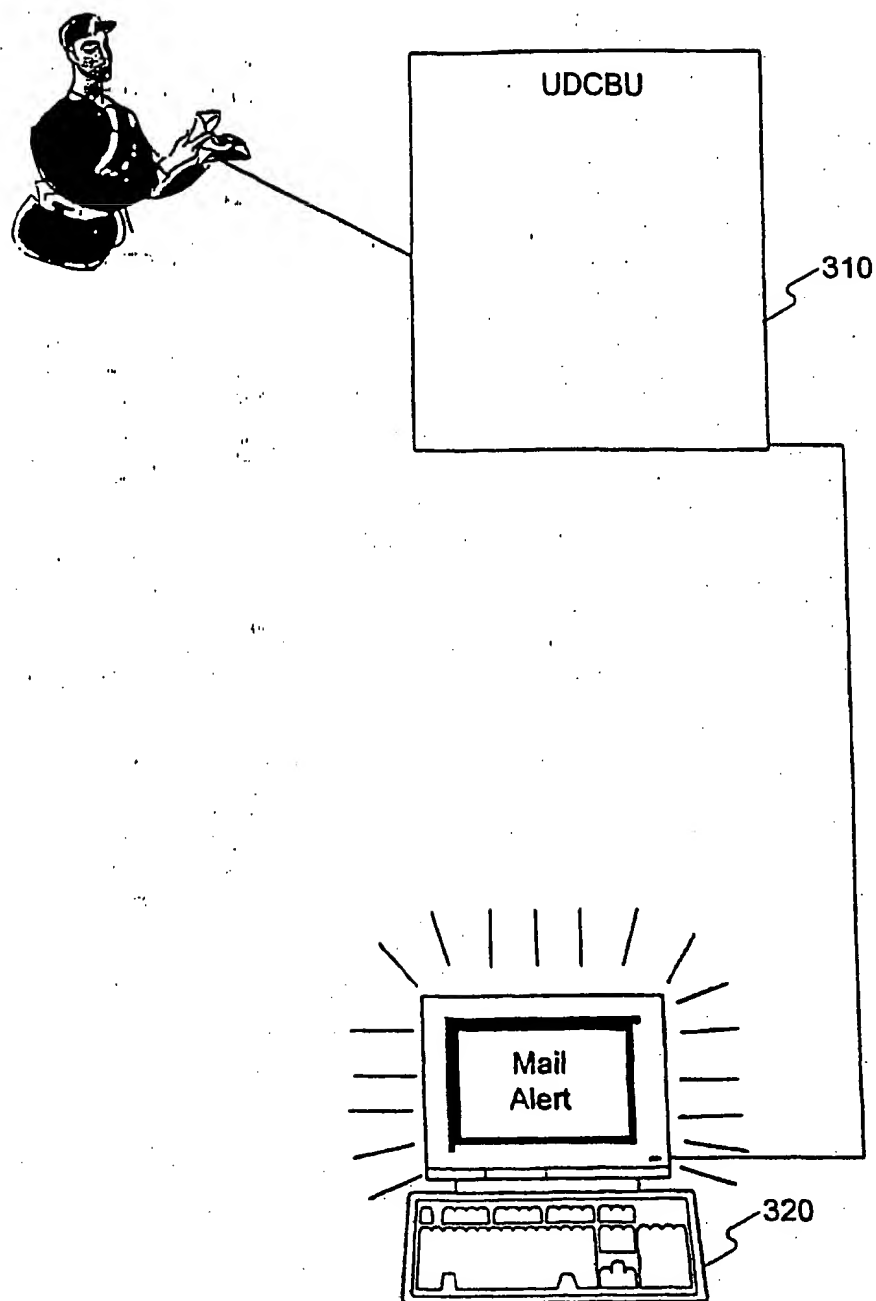


Figure 3

4/14

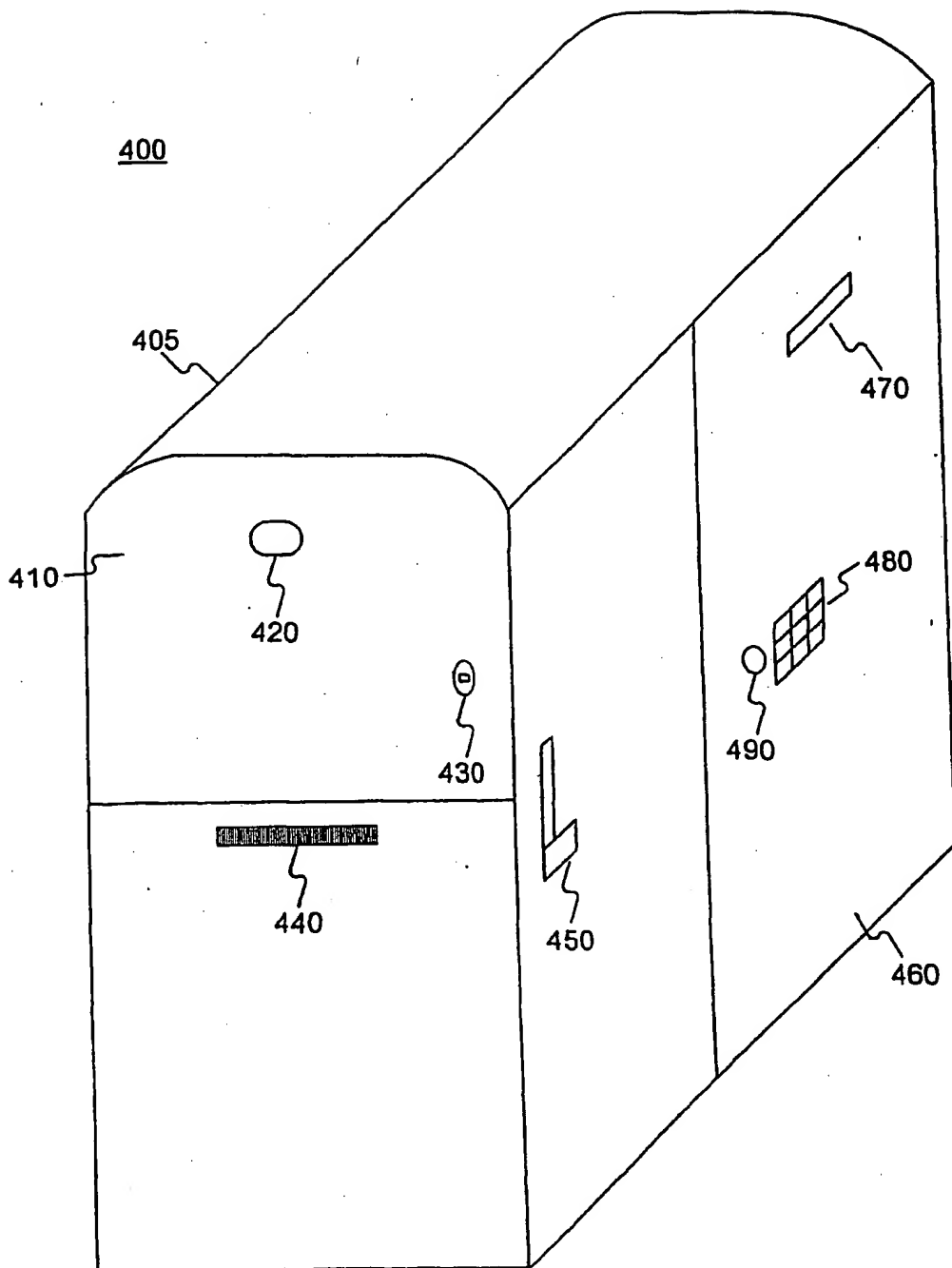


Figure 4

SUBSTITUTE SHEET (RULE 26)

5/14

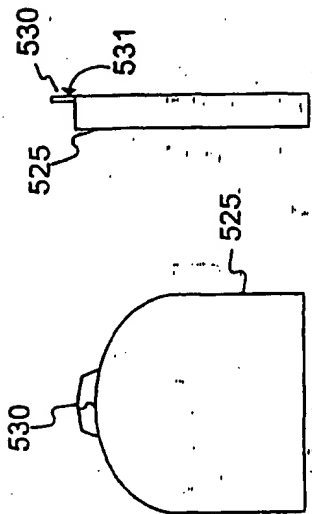


Figure 5E

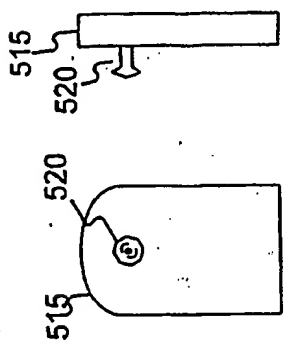


Figure 5D

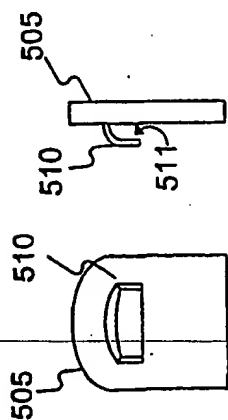


Figure 5B

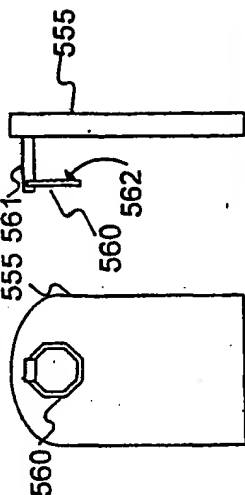


Figure 5K

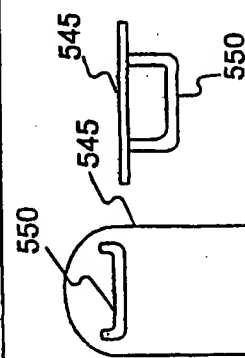


Figure 5J

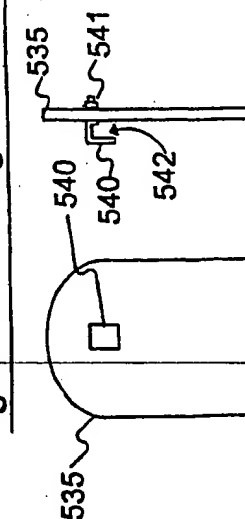


Figure 5H

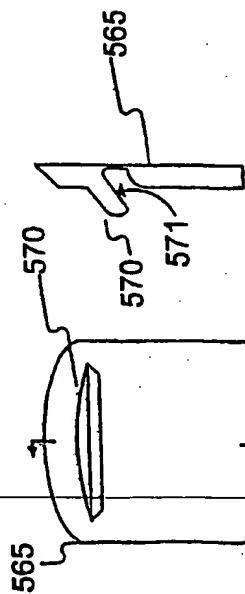


Figure 5M

Figure 5N

6/14

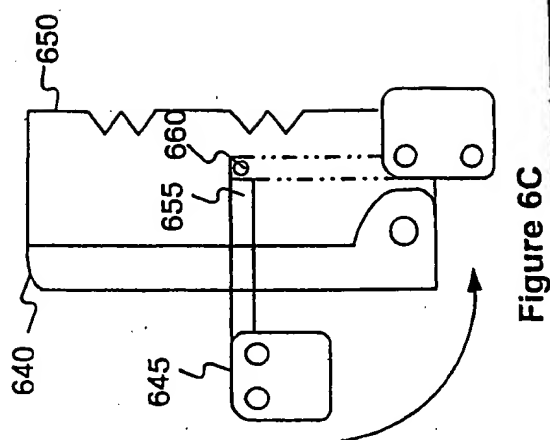


Figure 6C

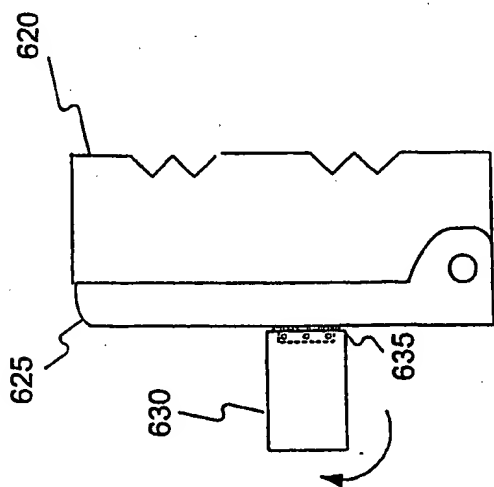


Figure 6B

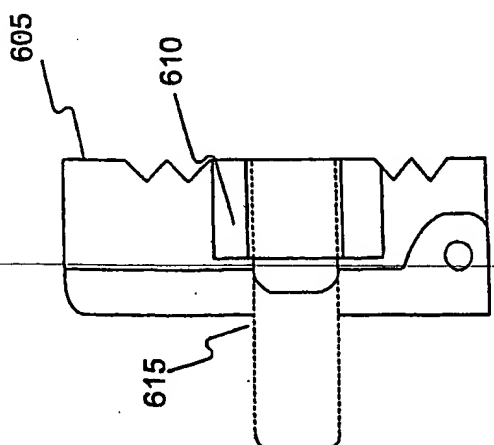


Figure 6A

7/14

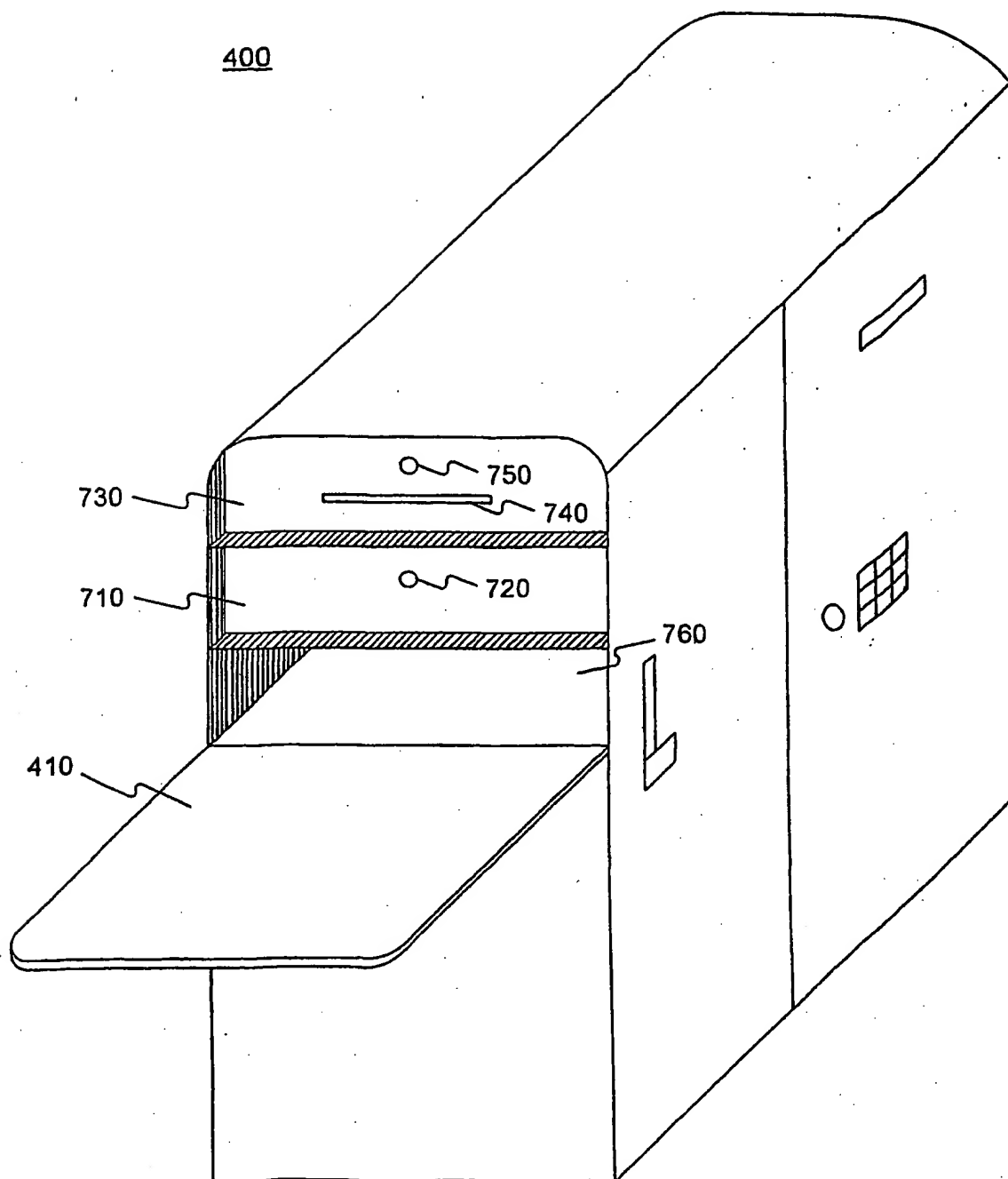


Figure 7A

8/14

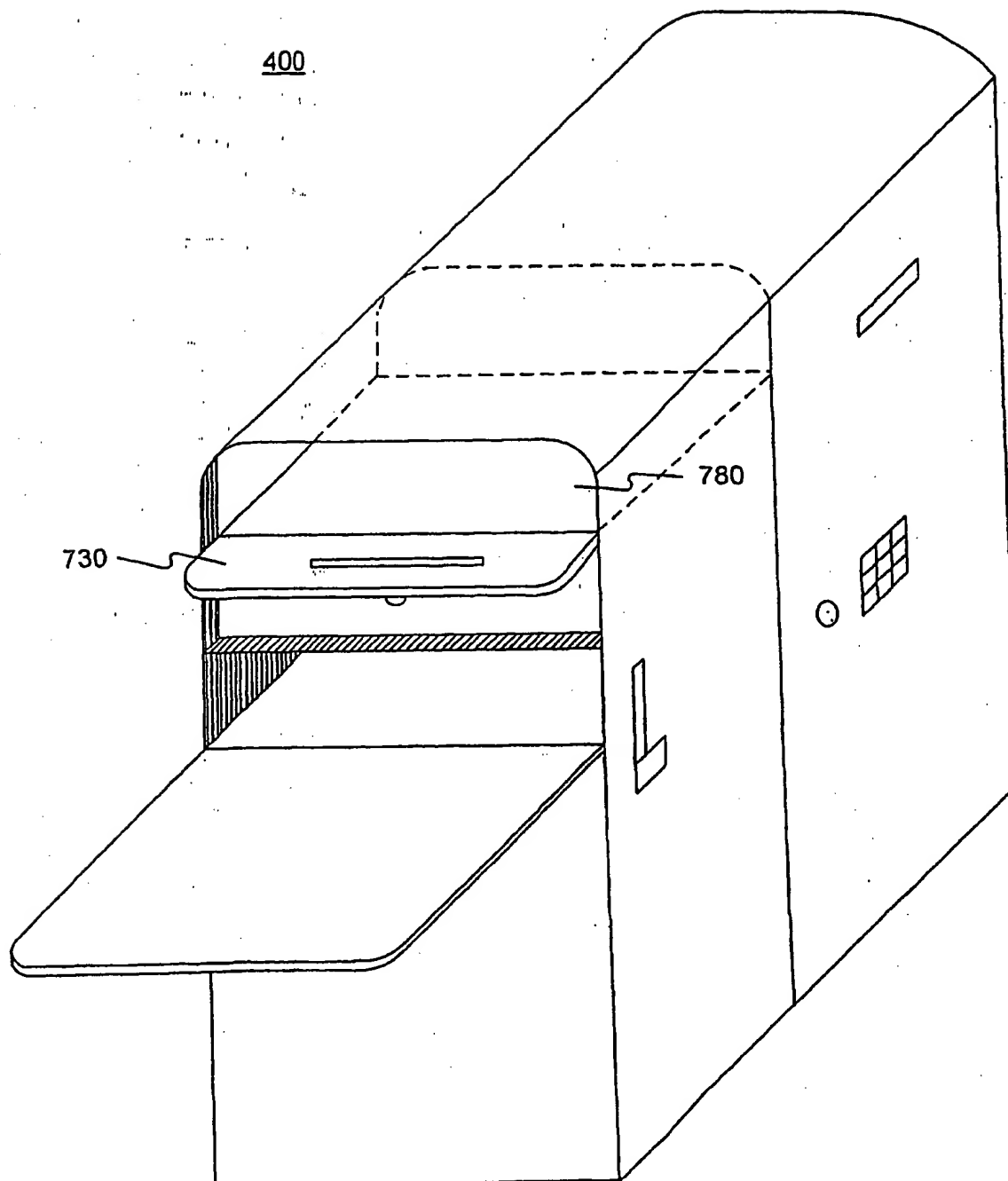


Figure 7B

SUBSTITUTE SHEET (RULE 26)

9/14

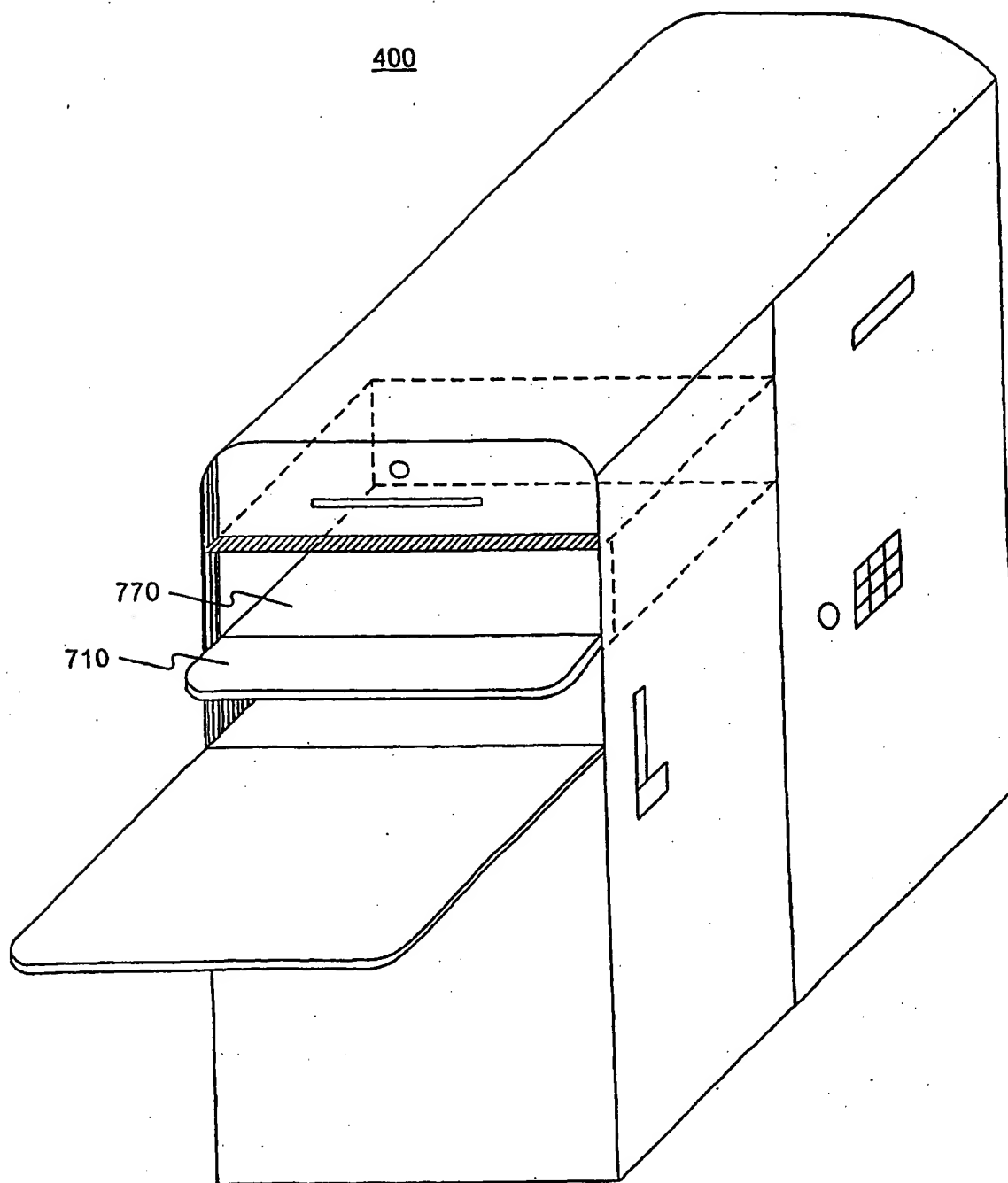


Figure 7C

10/14

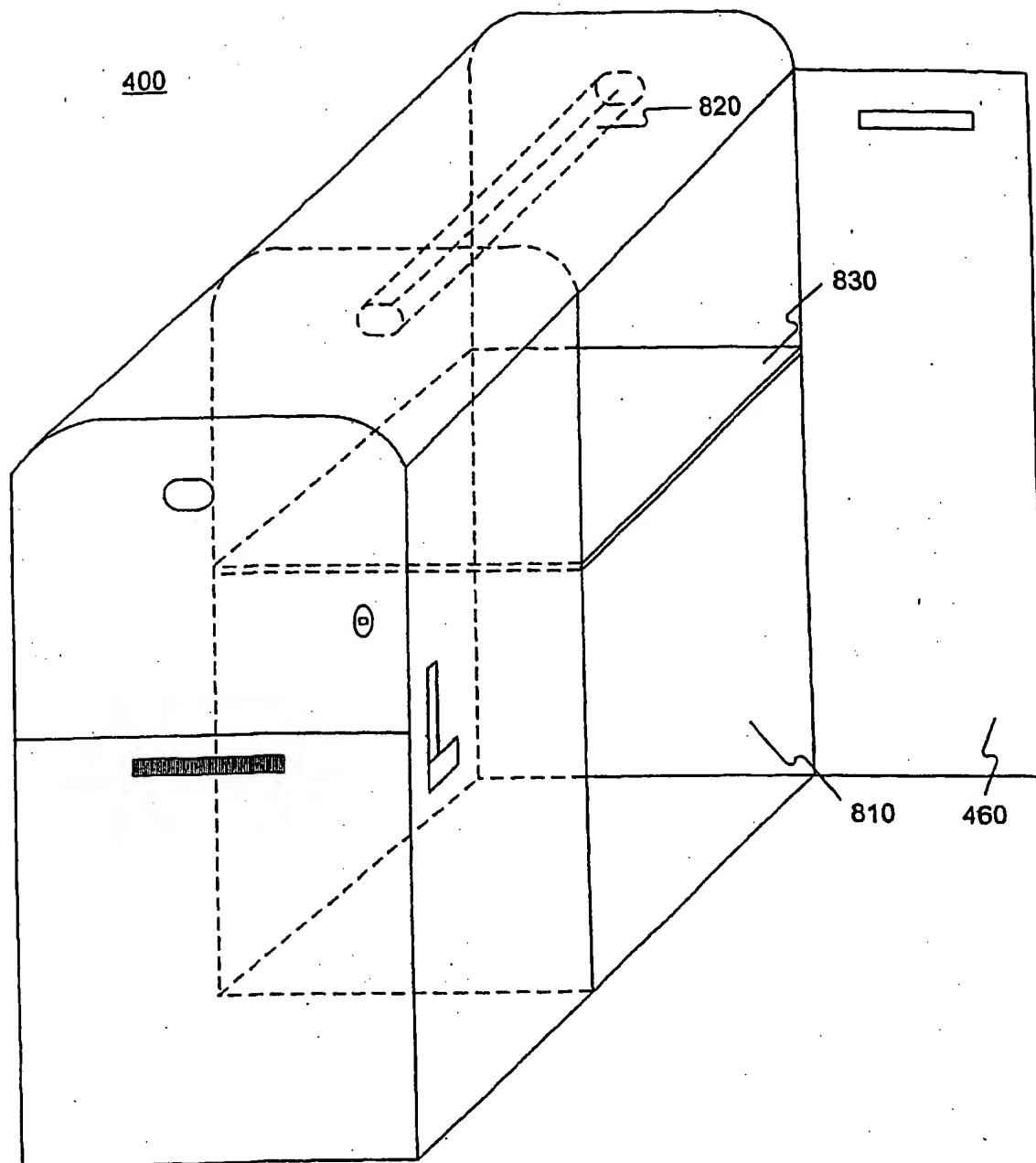


Figure 8

11/14

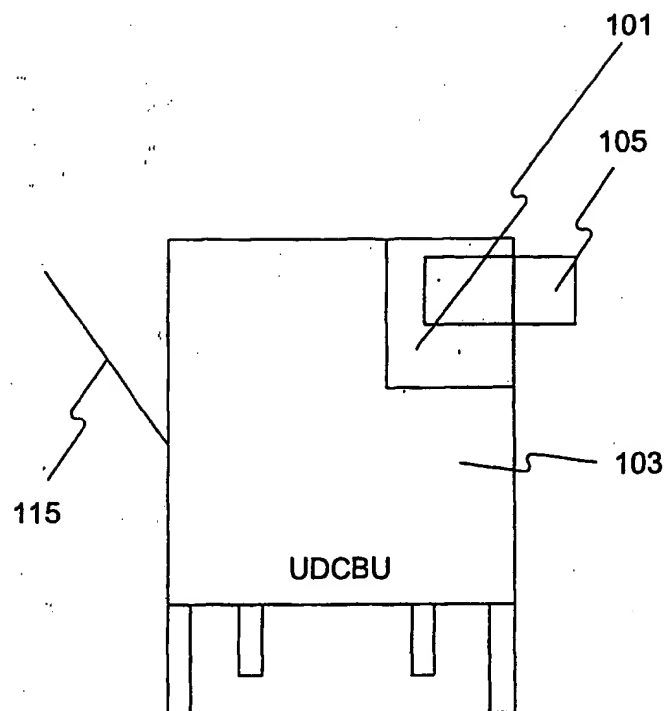


Figure 9

12/14

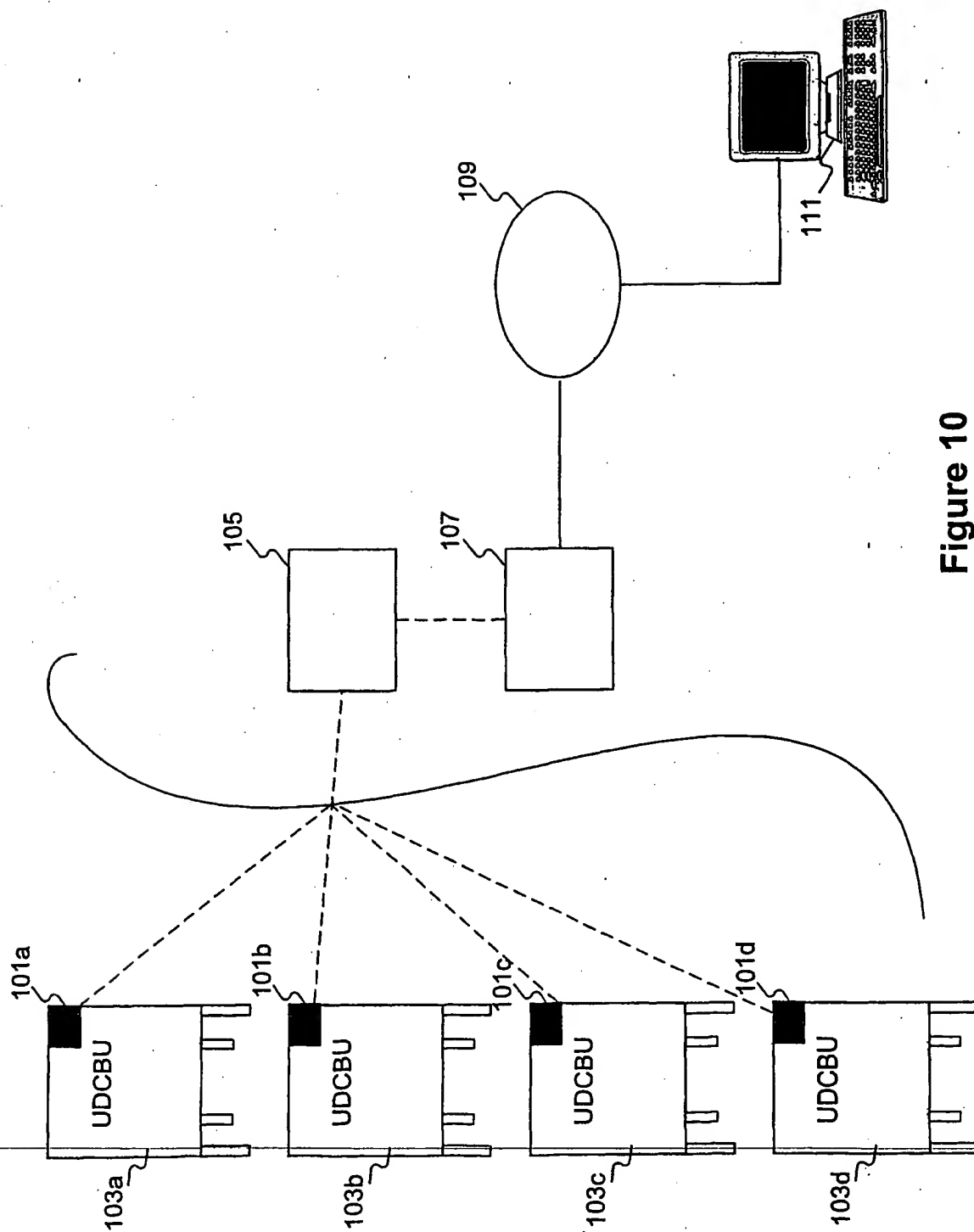


Figure 10

13/14

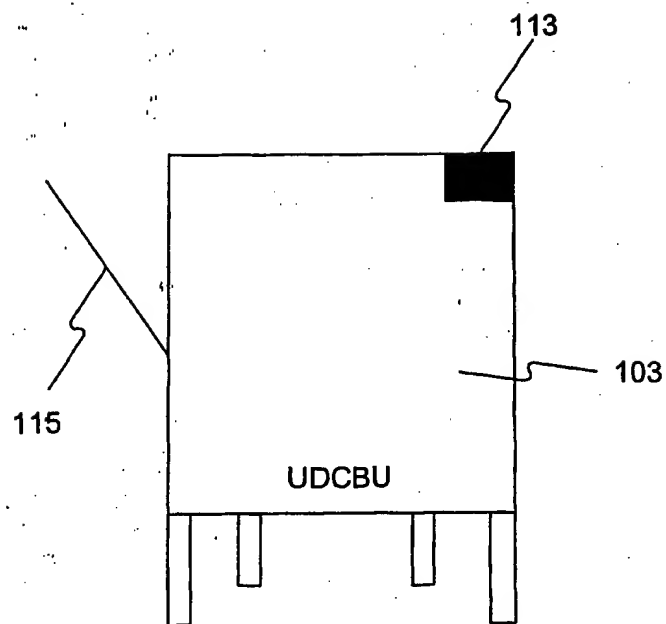


Figure 11

14/14

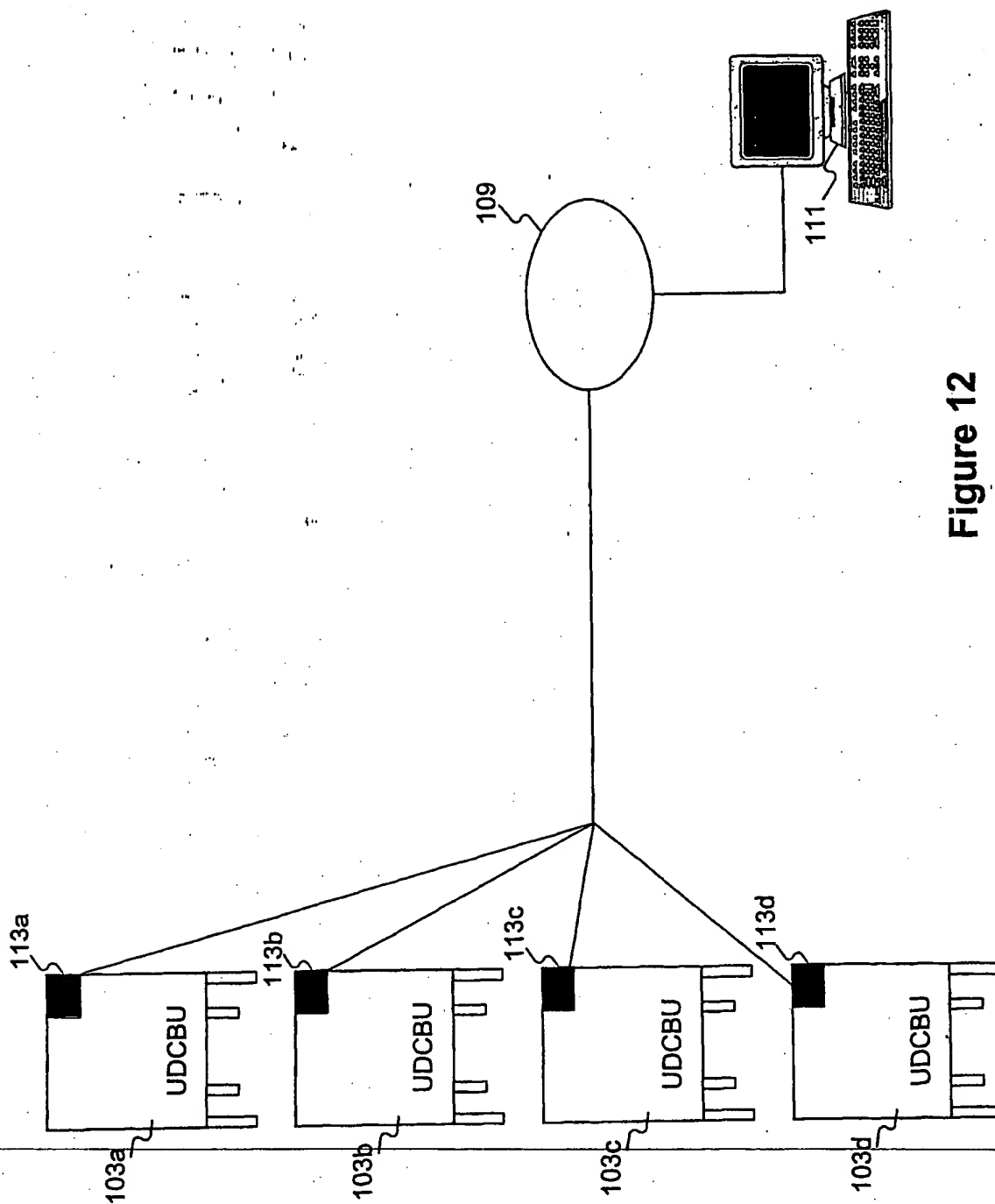


Figure 12

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.